

**SRI KRISHNADEVARAYA UNIVERSITY
ANANTAPUR**



**Regulations for
MPhil/PhD Programmes
2010**

Directorate of Admissions
Sri Krishnadevaraya University
Anantapur - 515 003. A.P

1. **Admissions**

The rules of admission to MPhil/PhD (Full Time/Part Time and Extramural) shall be as per the prospectus issued by the University for the relevant academic year.

2. A candidate admitted to MPhil/PhD (Both Full Time/Part Time) shall be required to undertake a course work consisting of two papers for a minimum period of nine months: one on Research Methodology and the other on the broad area of specialization.

3. **MPhil/PhD Written Examination**

- i. Every full-time MPhil/PhD candidate shall take the written examination consisting of two papers of three-hour duration each normally nine months after the provisional registration. He/She shall put in a minimum of 75% of attendance during this period to be eligible to appear for the written examination.

A part-time research candidate who registers for PhD under 3b (i) of the **Prospectus: MPhil/PhD Admissions 2010** shall take the written examination after putting in 75% of attendance during the first summer vacation and after completion of 18 months from the date of his/her registration.

An extramural research candidate will be eligible to appear for the PhD written examination after nine months from the date of registration.

Candidates under categories 3b (iii), 3b (iv), 3b (v), 3b (vi), 3b (vii), and 3b (viii) of the **Prospectus: MPhil/PhD Admissions 2010** (non-vacation departments) will take the examination after 18 months from the date of registration.

- ii. Candidates who register for PhD under category 3a (iii) and 3b (ii) of the **Prospectus: MPhil/PhD Admissions 2010** admitted to the PhD programme following rules of admission 4(iii) and 4(iv) are exempted from the PhD written examination. However, candidates who desire to change their topic of research to a topic other than the one offered at MPhil degree, under the same guide/or a different guide, have to appear for the examination in Paper-II (broad area of research in the new specialization chosen). They are exempted from appearing for paper-I: Research Methodology. Candidates who wish to change their Research Supervisor for PhD after obtaining the MPhil Degree and continue their PhD on the same topic as that for MPhil degree are also exempted from the PhD written examination.

Candidates continuing research for PhD after MPhil in the same area of specialization are exempted from the PhD (Paper-I and Paper-II) written examination in the subject concerned whether they register under the same guide or a different guide.

- iii. A candidate has to pass the PhD written examination in any of the three consecutive PhD written examinations conducted by the University

after completion of nine months from the date of registration. This rule also applies to candidates who have registered for PhD after obtaining MPhil where the areas of research in MPhil and PhD programmes are different. The registration for PhD of a candidate who fails in the written examination in all his/her attempts will cease to be valid.

- iv. A candidate has to pass the MPhil written examination in any of the three consecutive examinations conducted by the University after completion of nine months from the date of registration.
- v. A candidate for PhD is entitled to appear for the written examination in any one of three consecutive chances at the end of nine months from the date of registration. Even if a candidate does not apply for a PhD written examination, it is counted as an opportunity lost. Similarly, in the case of MPhil, a candidate is entitled to appear for the written examination in any one of the consecutive chances starting with the first chance at the end of nine months from the date of registration.
- vi. If a candidate for MPhil/PhD fails in the written examination in successive appearances as prescribed above, he/she will be permitted to appear for the written examination once again, provided there is sufficient justification, on the recommendation of the Research Supervisor concerned and the Chairperson, Board of Studies, and at the discretion of the University. If the

candidate does not appear for or fails in the written examination in the final chance, he/she will forfeit his/her MPhil/PhD registration. The candidate shall pay a processing fee of Rs.2,000/- in the case of PhD and Rs.1500/- in the case of MPhil in addition to the usual examination fee for taking the written examination (MPhil/PhD) under this provision.

- vii. A candidate will be declared to have passed the written examination provided he/she obtains 50% of marks in each of the two papers, i.e., i) Research Methodology, and ii) Board area of specialization.

4. **Panel of Examiners**

- i. The syllabus for Paper I: Research Methodology, Paper II: Broad area of specialization; and the panel of paper setters and examiners as approved by the Board of Studies concerned shall be submitted by the Chairperson of the Board.
- ii. The panel for adjudication of an MPhil dissertation shall consist of six Indian examiners with PhD (Professors/Associate Professors/Readers) from different universities/institutions.
- iii. The panel for PhD adjudication shall consist of 12 examiners with PhD who are either professors (or readers/associate professors with five years' service) in a recognized university or R&D personnel with PhD and of the rank equivalent to professor working in national labs or equivalent institutions. Professors who have retired from service, but have not crossed the age of 65 years

may also be included in the panel. The panel should consist of names of at least six persons from outside Andhra Pradesh, except in the case of vernacular languages. The examiners may be from India or abroad. The Chairperson of the Board of Studies concerned and the Research Supervisor shall send the panel of examiners jointly to the University.

- iv. Sri Krishnadevaraya University teachers who are on lien/deputation shall not be included in the panel for adjudication of PhD/MPhil thesis/dissertation submitted in Sri Krishnadevaraya University.
- v) The panel of adjudicators for an MPhil/PhD dissertation/thesis shall be submitted jointly by the Research Supervisor and the Chairperson of the Board of Studies. If the Research Supervisor happens to be the Chairperson, BoS, the panel shall be submitted jointly by the Research Supervisor and the senior most member of the department. If the Chairperson also happens to be the senior most member of the department, he shall submit the panel for his research candidate in consultation with the person next to him in the department. If no such person is available in the department, the panel should be submitted jointly by the research supervisor and an external member of the Board of Studies.
If the Chairperson of the Board of Studies concerned does not submit the panel of examiners within 15 days from the date of submission of the panel by the Research Supervisor to the Chairperson, the Research Supervisor is authorized

to submit the panel duly attested by the Dean of the Faculty concerned to the Controller of the Examinations for taking necessary action. If the Research Supervisor happens to be the Dean of the Faculty, the panel of adjudicators for PhD shall be attested by one of the senior professors in the department concerned.

- vi. A teacher from another university who guided a candidate for MPhil shall not be included in the panel for adjudication of his/her PhD thesis.

5. Submission of Synopsis

A candidate shall submit nine copies of the synopsis of the PhD thesis to the University. The Research Supervisor and the Chairperson, BoS, shall jointly sign the panel of examiners as in 4(iii) and submit the same in a closed cover to the University along with the synopsis. To avoid delay, candidates are permitted to submit the synopsis six months prior to the submission of the thesis but not before the completion of the minimum period.

However, if a candidate fails to submit the thesis within six months from the date of submission of the synopsis, he/she has to resubmit a fresh synopsis by paying a processing fee of Rs.1,000/- .

There shall be an advisory committee for PhD programme for each candidate with the supervisor as Chairperson and two members opted

by the supervisor as members. This committee has to certify that the candidate has given two seminars on the research topic before submission of synopsis. The committee will also advise the candidate on the quality of research. The committee will prepare a report and the same shall be submitted along with the synopsis to the Controller of Examinations.

6. **Submission of Thesis/Dissertation**

A candidate who passes the MPhil/PhD written examination shall submit the dissertation/thesis for adjudication as per the following guidelines/schedules:

A. **PhD Thesis**

- i. A full-time Research Scholar shall submit the thesis not earlier than two years and not later than five years from the date of registration.
- ii. A full-time PhD scholar who continues work for the PhD degree after the award of MPhil shall submit his/her thesis not earlier than one year and not later than four years from the date of registration for the PhD degree.
- iii. A part-time Research Scholar shall submit his/her thesis not earlier than three years and not later than six years from the date of his/her registration for the PhD degree.

- iv. A part-time Research Scholar who registers and continues his/her work for PhD after the award of the MPhil degree shall submit his/her thesis not earlier than two years and not later than five years from the date of registration for PhD.
- v (a) A candidate for PhD has to present a minimum of two seminars in the department concerned on his/her research area before submitting his/her PhD thesis. One of these seminars shall be within six months from the date of the completion of the PhD written examination. The second seminar shall be at the time of the submission of the synopsis of the thesis. The advisory committee evaluates the performance of the candidate in the seminars and satisfies itself about his/her progress and sends a report thereon to the University. Without a satisfactory progress report from the committee the thesis will not be sent for adjudication. The quorum for the committee is two with the Research Supervisor having to be present compulsorily. Grounds for rejection/deficiency, if any, shall be noted in the progress report and intimated to the candidate to enable him/her to revise his/her study and present himself/herself again before the committee till such time as he/she is declared eligible to submit the thesis.
- (b) A PhD scholar shall publish one research paper in a journal of repute.
- vi. The adjudication fee for a PhD thesis is Rs.3,000-00. The amount is to be remitted to A/c No.509, Andhra Bank, SK University.

B. MPhil Dissertation

- i. A full-time MPhil scholar shall submit his/her dissertation on the topic chosen within six months from the date of announcement of the result of his/her MPhil written examination.
- ii. A candidate for MPhil shall present one seminar in the department on his/her research area before submitting his/her MPhil dissertation.
- iii. The adjudication fee for MPhil is Rs.1,500-00, which is to be remitted to A/c No.509, Andhra Bank, SK University.

An MPhil/PhD candidate shall pay the tuition fee to SK University College until he/she submits the dissertation/thesis or until the completion of the maximum period (including extension time, if any) whichever is later, irrespective of whether the tuition fee is reimbursed by the Government or not.

7. Adjudication of Thesis/Dissertation

A. PhD Thesis

- i. After passing the PhD written examination and completing the period of duration and other requirements, every candidate is eligible to submit to the University the thesis (four copies) printed/typed along with a soft copy embodying the results of the research carried out by him/her together with the prescribed No Dues Certificate.

- ii. The candidate shall submit along with the thesis a certificate signed by him/her and the Research Supervisor that the thesis submitted is a record of original work done by him/her and that it did not previously form the basis for the award of any degree or diploma or other similar title to the candidate.
- iii. A PhD thesis shall be evaluated by three adjudicators (from the panel submitted) out of which one must be from outside the State as specified in Section 4(iii) above. The Vice-Chancellor will appoint one of the three examiners as Chairperson of the PhD Adjudication Committee and Viva Voce Board to whom the reports of the other examiners shall be submitted.
- iv. **The degree will be awarded only when all the three examiners unanimously recommend the award of the degree to the candidate.** In case one of the examiners rejects the thesis, it shall be sent to a fourth examiner, whose recommendation shall be final.

If an examiner recommends that the thesis be revised and resubmitted, the candidate shall revise and resubmit the thesis within one year from the date of communication by the University. The candidate will be permitted to revise the thesis based on the examiner's recommendations only once. Such a revised thesis shall be sent for adjudication normally to the examiner who has recommended revision. In case the thesis is rejected even after revision, it shall be sent to a

fourth examiner, whose recommendation shall be final.

v. **In case two or more of the first panel of three examiners reject the thesis, the thesis stands rejected.**

vi. When all the three examiners recommend a thesis for the award of the PhD degree, the Chairperson of the Adjudication Committee will send a consolidated report along with all the three reports to the Controller of Examinations and will suggest a suitable date for conducting an open Viva Voce in the Department concerned. The Viva Voce Board consists of the Chairperson of the Adjudication Committee as the Chairperson; and the Research Supervisor, the Dean of the Faculty, the Chairperson of the Board of Studies, and the Head of the Department as members.

If the Research Supervisor is on deputation/lien/abroad/retired and is unable to attend the Viva-Voce, the PhD Viva shall be conducted with the following members:

1. Chairperson of the Viva Voce Board
2. A senior member of the Department / Faculty available on the day of Viva-Voce nominated by the Vice-Chancellor.
3. Head / in-charge Head of the Department
4. Chairperson of the Board of Studies
5. Dean of the Faculty

The quorum required for the Vice Voce is: a) Chairperson, b) Research Supervisor/teacher nominated by the Vice-chancellor, and c) Head/Chairperson./Dean.

- vii The Viva Voce is open to all faculty of the department, research scholars and members of the sister disciplines. The PhD degree will be awarded to the candidate based on the recommendations of the Viva Voce Board. Under extraordinary circumstances, the Vice-Chancellor is empowered to reconstitute the Viva Voce Board.
- viii. After the award of the degree, copies of the reports on the thesis (with the names of the examiners deleted) will be made available to the candidate on payment of the prescribed fee of Rs.300. The PhD degree will be awarded to the candidate with effect from the date of recommendation of the Viva Voce Board. The University will issue a Provisional Award Certificate that the Degree has been awarded in accordance with the UGC guidelines/regulations.

B. MPhil Dissertation

An external examiner from the panel submitted as specified in Section 4 (ii) is appointed to evaluate the dissertation. The external examiner shall evaluate the dissertation for a maximum of 200 marks in addition to sending a brief report on the dissertation. The passing minimum is 100 marks. If the marks awarded for the dissertation are less than 100, the candidate has to resubmit the dissertation within six months and if he/she fails to

get 100 marks the second time also, he/she has to register afresh for MPhil in response to an advertisement if he/she so desires.

- ii. The Advisory Committee for MPhil Programme for each candidate comprises the Supervisor as Chairperson and two members opted by the Supervisor as members. The committee has to certify that the candidate has given one seminar on the research topic before submission of the dissertation. The committee will also advise the candidate on the quality of research.
- iii. Based on the seminar report, marks obtained in the written examination, and marks awarded by the external examiner for the dissertation, the candidate will be awarded MPhil degree and placed in I/II class. He/She is eligible to register for the PhD degree within one month from the date of announcement of the award of MPhil degree with the consent of the Research Supervisor and on certification by him/her that the topic chosen for PhD is an extension of MPhil work or related to the field of research carried for the MPhil degree. Candidates who register for the PhD degree will be deemed to be under the PhD regulations for full-time/part-time category as the case may be. The University will issue a formal registration letter to that effect. If the area of research for PhD is different from that of MPhil, the candidate has to pass Paper-II (Broad area of specialization) of the PhD written examination.

8. Recognition of Research Supervisors in Affiliated Colleges

- i. The institution/college has to apply to the Registrar, SK University, Anantapur, for recognition of its teacher as Research Supervisor. The College shall pay a recognition fee of Rs.15,000/- for each subject. Further, the college has to pay an inspection fee of Rs.20,000/- for each subject. The annual affiliation fee for each subject of research is Rs.15,000/-.
- ii. The application for recognition of research supervisors should be submitted to the Registrar, SK University, Anantapur, by the college management through the secretary of the educational society concerned in the case of private degree colleges and through the Commissioner of Collegiate Education in the case of government degree colleges.
- iii. The University will grant recognition to teachers in colleges applying for recognition on the recommendation of an Expert Committee consisting of the Dean of the Faculty concerned, the Chairperson of the BoS, and the Head of the Department concerned, which inspects and verifies the research facilities available in the department of the college. The Committee shall be constituted by the Dean/Director of the College Development Council, and the Committee shall submit its report to him/her. The recognition granted shall be reviewed once in three years.

- iv. The Director of Admissions obtains a list of eligible research supervisors and vacancies in the PhD programme (Full-time and Part-time) from the Head of the Department concerned for the purpose of admissions.

9. Eligibility of Research Supervision

A. PhD Supervision

The Research Supervisor shall be a teacher of SK University College on permanent basis having two years of postgraduate teaching experience and a PhD degree in the faculty or discipline concerned.

Associate Professors/Readers/Assistant Professor/Lecturers in aided posts working in degree colleges affiliated to SK University and offering postgraduate courses (recognized for research) in the relevant subject for four years and having four years of PG teaching experience are eligible to guide candidates for PhD after satisfying the requirement stated in 8 (iii) above. Their eligibility and suitability are subject to the scrutiny and approval by a committee consisting of the Chairperson, Board of Studies, the Head of the Department concerned, the Dean of the Faculty concerned, constituted by the Dean, College Development Council.

B. MPhil Supervision

The Research Supervisor shall be a teacher of SK University College on permanent basis having two years of postgraduate teaching experience and a PhD degree in the faculty or discipline concerned.

A teacher of an affiliated degree college of SK University offering postgraduate courses (recognized for research) and having two years of postgraduate teaching experience on permanent basis and a PhD degree is eligible to guide an MPhil candidate subject to the scrutiny and approval by a committee as in 8 (iii) above.

* MPhil/PhD supervision is extended to a University teacher on lien/deputation provided he/she gives his/her consent and is available to the research scholar.

already * Teachers who are retired and within the age of 65 years are also permitted to continue to supervise the MPhil/PhD Scholars registered under their supervision without any financial commitment to such teachers on the part of the University.

10.

Change of Research Area/Supervisor

- i. The broad area of specialization shall be finalized before appearing for the PhD written examination. Change of research area is allowed only on the recommendation of the RAC (Research Advisory Committee) before the expiry of the maximum period and the candidate has to appear for Paper-II of the written examination in the changed area.
- ii. Change of Research Supervisor for a candidate who registers for PhD will be permitted if the candidate requests through the proper channel and on the recommendation of the RAC.

- iii. Change of research supervisor is also permitted in case of MPhil candidates on the recommendation of the RAC.
- iv. A candidate who applies for a change of research supervisor within the maximum period for submitting the dissertation will be permitted to submit the dissertation within six months after such a change has been effected in the case of MPhil candidates and within one year in the case of PhD candidates subject to his/her completing the minimum period prescribed for the submission of the dissertation /thesis. In all the above cases, if the candidate continues his/her research work on the same topic, he/she need not pass paper-II: Broad area of specialization. Otherwise, the candidate has to pass Paper-II before submitting his/her dissertation/thesis.

A change of research supervisor is allowed when:

- a. The present research supervisor retires, goes on lien/deputation, or passes away.
- b. There arises a misunderstanding between the research supervisor and the research student and as a result either the research supervisor is not interested in supervising the research or the research student is unwilling to work with the research supervisor for tenable reasons.

11.

Intake of Research Students and Vacancies

The number of research students an eligible teacher can guide for PhD at any given time is: Full Time: 05; Part Time: 03. ()

“A Supervisor shall not have, at any given point of time, more than Eight PhD Scholars” [UGC (Minimum Standards and Procedure for Award of MPhil/PhD Degree) Regulations].

The number of candidates an eligible teacher can guide for MPhil is as follows: Research Supervisors of any cadre can supervise two MPhil candidates.

Transfer of seats from one Research Supervisor to another is not allowed.

A Full-time/Part-time PhD candidate is deemed to have vacated his/her seat if he/she has completed three/four years respectively from the date of admission.

An MPhil scholar is deemed to have vacated his/her seat if he/she has completed 18 months from the date of admission.

No full-time or part-time PhD candidate will be allotted to a teacher during the last two years of his/her service. No MPhil candidate will be allotted to a teacher during the last one year of his/her service.

12. Conversion from Full-time to Part-time

Candidates who registered for PhD on full-time basis and who have passed the PhD written examination conducted by this University, but subsequently discontinued the programme on securing Government/Public/Private Aided Sector employment will be permitted to continue their research on part-time basis on production of the appointment order and service certificate duly signed by the employer. A fee of Rs.5,000/- for conversion to part-time PhD shall be paid by such a candidate. From the date of conversion the annual fee as prescribed for part-time candidates shall be paid for the duration as already prescribed.

13.. Appointment of a Co-guide

Candidates who have registered for PhD degree and who wish to enter into interdisciplinary research/interdepartmental research collaboration with an expert outside the University may apply for the appointment of such an expert in the field as a Co-guide. The candidate and the research supervisor should support the need for a Co-guide by submitting a written justification with the consent of the proposed Co-guide. The application shall then be referred to the Research Advisory Committee. The Committee shall examine such cases and recommend the appointment of a Co-guide without any financial commitment on the part of the University.

14. Extension of Time for Submission

- i. A candidate who could not submit the MPhil/PhD thesis within the maximum period may apply to the University for extension of time, for one year, through the proper channel. A justification by the research supervisor should be submitted along with the application. If there is sufficient justification for extension of time, the Vice-Chancellor will take an appropriate decision.
- ii. If a candidate fails to take MPhil/PhD written examination in the three consecutive chances, he/she may be allowed to take the examination with a processing fee of Rs.3,000/- only one more time.
- iii. A candidate who fails to submit his/her PhD thesis within the maximum period prescribed (including the extension given above) may be granted permission for submission by the Vice-Chancellor, based on the recommendation of the Research Supervisor, the Chairperson of BoS, the Head of the Department, and Dean of the Faculty concerned and on the merits of the case in terms of the work already turned out as indicated by the publication, papers presented at conferences, etc.
- iv. If the Vice-Chancellor grants permission, the candidate has to pay a processing fee of Rs.5,000/- for the grant of extension per year or part thereof.
- v. In the case of an MPhil candidate the corresponding extension of time will be six months. After the expiry of the maximum period,

a processing fee of Rs.1,500/- per year or part thereof shall be paid at the time of submission of dissertation.

- vi. The fee shall be remitted to Account No.509 of Andhra Bank, SK University, Anantapur.

15. **Research Advisory Committee**

A Research Advisory Committee (RAC) consisting of Deans of Faculties, Chairpersons of BoS, and Principal (Convener) will perform the following functions:

- i. Scrutinize the applications for appointment of Co-guide as and when such cases arise and scrutinize the applications for registration of teachers as research supervisors (of both the University and Affiliated Colleges).
- ii. Decide upon such cases as may be referred to it for opinion on ambiguities, if any, and problems of interpretation arising in respect of Regulations-2010. The decision of the Academic Senate shall be final on such matters.

Directorate of Admissions
Sri Krishnadevaraya University
Anantapur - 515 003. A.P